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21898 7390 11/17/2004

ROHM AND HAAS COMPANY  
PATENT DEPARTMENT  
100 INDEPENDENCE MALL WEST  
PHILADELPHIA, PA 19106-2399

12/16/2004 SDIRETA2 00000021 181850 10840020

01 FC:1501  
02 FC:1504  
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

<u>Georgy K. Knauth</u>	(Depositor's name)
<u>Georgy K. Knauth</u>	(Signature)
<u>Dec. 16, 2004</u>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10840,020	05/06/2004	Eric G. Lundquist	A01501	9631

TITLE OF INVENTION: HIGH SOLIDS PROCESS FOR PREPARING POLYMERIC NANOPARTICLES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	02/17/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
ZALUKAEVA, TATYANA	1713	428-402210

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Joanne P. Will  
2. Richard R. Clikeman  
3. Thomas S. Deibert

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

ROHM AND HAAS COMPANY

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

PHILADELPHIA, PENNSYLVANIA 19106 USA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
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## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(b)(2).

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NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Typed or printed name

Thomas S. Deibert

Date December 16, 2004

Registration No. 40,984

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ROHM AND HAAS COMPANY  
FACSIMILE TRANSMITTAL SHEET

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DN A01501

In re: Eric G. Lundquist et al.	: Group Art Unit: 1713
Application Serial No. 10/840,020	: Examiner: Zalukaeva, Tatyana
Date Filed: May 6, 2004	: Confirmation No. 9631
For: High Solids Process for Preparing Polymeric Nanoparticles	:

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CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office on the date shown below:

Fee Transmittal

December 16, 2004  
Date

Becky Knauth  
Signature

Sender's Facsimile No. 215-592-2682

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